

Version

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Effective

December 1, 2007

UNITED STATES DISTRICT COURT - DISTRICT OF COLORADO

Electronic Case Files

**ELECTRONIC CASE FILING
PROCEDURES
(CIVIL CASES)**

**ELECTRONIC CASE FILING PROCEDURES FOR THE DISTRICT OF COLORADO
(CIVIL CASES)
Effective December 1, 2007**

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ELECTRONIC CASE FILING PROCEDURES FOR THE DISTRICT OF COLORADO (CIVIL CASES)

Effective December 1, 2007

I. ELECTRONIC CASE FILING SYSTEM

A. In General. Unless otherwise permitted by these administrative procedures, by local rules, or by a general order of the court, all documents filed in civil cases, shall be filed electronically in a portable document format (PDF) using the Electronic Case Filing System (ECF) connected through the court's web site at <http://www.cod.uscourts.gov>.

B. Exceptions.

1. **Materials That Cannot Be Converted to Electronic Form.** Materials that cannot be converted to electronic form (e.g., videotape, audiotape, etc.) may be filed by delivering them directly to the clerk's office and following Section V.H.6. of these procedures. For brevity, these procedures sometimes refer to these materials as "conventionally submitted materials."
2. **Initiating Documents.** Complaints, petitions, notices of removal, civil cover sheets, summonses, and other case-initiating documents are governed by Section V.D. of these procedures.
3. **Sealed Cases.** Cases commenced under seal pursuant to statute or sealed pursuant to order in accordance with the local rules of this court shall be filed in accordance with Section VI.A.
4. **Sealed Documents, Ex Parte Submissions, and Documents for In Camera Review.** Such documents shall be filed in accordance with Section VI.
5. **Prisoner *Pro Se*.** Prisoner *pro se* parties may not use ECF and must file their documents in paper. Their documents will be scanned and uploaded into ECF by court staff.
6. **Non-Prisoner *Pro Se*.** Unless they comply with Section III.B.2. of these procedures, non-prisoner *pro se* parties may not use ECF and must file their documents in paper. Their documents will be scanned and uploaded into ECF by court staff.

7. **Application for Attorney to File in Paper.** An attorney may apply for permission to file documents in paper by following Section II. of these procedures.

C. Official Files and Records.

1. **Files.** The clerk's office will not maintain a paper court file in any civil case commenced after June 20, 2005, except as otherwise provided in these procedures.
2. **Official Record.** The official court record from June 20, 2005, forward shall be the electronic file maintained on the court's servers and any documents or exhibits which these procedures allow to be filed by delivery to the clerk's office and are not scanned and posted to ECF.
3. **Filing for Purposes of Rules.** Electronic transmission of a document to ECF consistent with these procedures, together with the transmission of a Notice of Electronic Filing (NEF) that the court's system generates from the electronic submission, constitutes filing of the document for purposes of the Federal Rules of Civil Procedure and the local rules of this court, and constitutes entry of the document on the docket kept by the clerk under Fed.R.Civ.P. 58 and 79.
4. **Filer Required to Maintain Certain Documents.** Documents (a) that are electronically filed and (b) that require signatures, other than or in addition to that of the filer (e.g., affidavits), must be maintained in paper form by the filer until two years after all time periods for appeal expire and all appeals are final. At the request of the court, the filer must provide the documents for review.
5. **Legible.** Filers are required to verify that all documents are legible before the documents are filed electronically with the court.
6. **Scanning.** Filers shall only scan documents unavailable in an electronic format. Documents shall be converted to PDF directly from the software application in which they were created (e.g., Word, WordPerfect, Excel). Documents converted electronically shall be text-searchable.

D. System Availability.

1. **Schedule.** ECF will be available 24 hours a day, seven days a week. If maintenance or repairs require a period of downtime, advance notice will be provided on the court's web site.
2. **ECF Help Desk.** The ECF help desk is supported between the hours of 8:00 a.m. and 5:00 p.m. Mountain Time. The help desk may be contacted by:
 - a. telephone at 303-335-2050 or 1-866-365-6381; or
 - b. electronic mail (e-mail) at cod_cmecf@cod.uscourts.gov.

II. APPLICATION FOR ATTORNEY TO FILE IN PAPER FORMAT

- A. **Permission Required.** In exceptional circumstances, an attorney may apply to a judicial officer designated by the Chief Judge for permission to file documents in paper format.
- B. **Application.** An application for leave to file in paper format is available on the court's web site at <http://www.cod.uscourts.gov> or from the clerk's office. Applications shall be filed in paper with the clerk. See ECF Form 1.

III. REGISTRATION FOR PACER AND ECF

- A. **PACER Registration Required for ECF.** Documents already on the court's servers are accessed through the Public Access to Court Electronic Records ("PACER") Service Center. The Notice of Electronic Filing generated by each transmission of a document to ECF permits the filer and each recipient, without charge, to view, print, and/or download the document filed. Any subsequent use of ECF to review documents requires a PACER login, in addition to the ECF login and password issued by the court. To register for PACER, a user must complete the online form or submit in paper a registration form available on the PACER web site (<http://pacer.psc.uscourts.gov>).
- B. **Court Registration Required for ECF.**
 1. **Attorney Admitted to Practice in This Court** (See D.C.COLO.LCivR 83.3 A). An attorney who is a member in good standing of the bar of this court shall, register as a participant in

ECF by completing the ECF Attorney Registration process on the court's web site. After the registration is approved by the court, the clerk's office will send the attorney's ECF login to the attorney's e-mail account. The ECF account is the possession of the attorney, not the firm, and responsibility for maintenance is the attorney's.

Attorneys registered for ECF and representing themselves *pro se* shall use ECF to file documents unless authorized to file conventionally under Section II of these procedures.

2. **Non-Prisoner Pro Se.** A non-prisoner *pro se* party may apply to register as a participant in ECF by completing an ECF Registration Form - *Pro Se* and submitting it, in paper, to the clerk's office. See ECF Form 2. If the applicant is approved by the court, the clerk's office will send the applicant's ECF login to the applicant's e-mail account. Upon (1) closure of the case for which access is granted (and the expiration of all appeal periods) or (2) entry of appearance by counsel on behalf of the *pro se* party, the account will be deactivated.
3. **Consent to Electronic Service.** Registration as a participant in ECF shall constitute consent to electronic service of all documents in accordance with the Federal Rules of Civil Procedure.

ECF participants shall verify that any security filtering software on the user's electronic mail system will not inhibit electronic service from the court.

4. **Revocation of ECF Registration and Access.** The court may for good cause revoke the ECF registration of an attorney or non-prisoner *pro se* party.

IV. LOGIN AND PASSWORD

- A. **Change of Password.** After registering, an attorney or non-prisoner *pro se* party may change his or her ECF password. Directions on how to do so may be found in the ECF User's Manual on the court's web site at <http://www.cod.uscourts.gov>.
- B. **Restrictions on Use.**
 1. No attorney shall permit or cause to permit his or her login and

password to be used by anyone other than a person whom the attorney has authorized to file in the attorney's name.

2. A non-prisoner *pro se* party shall not permit or cause to permit any other person to use his or her login or password.

C. Responsibility and Sanctions. An attorney or non-prisoner *pro se* party is responsible for all documents filed using his or her login and password, and is subject to sanctions under Fed.R.Civ.P. 11.

D. Security of Password. If an attorney or non-prisoner *pro se* party believes that the security of an existing password has been compromised or that an ECF account has been misused, the attorney or non-prisoner *pro se* party must change his or her password and contact the ECF help desk immediately.

E. Change of E-Mail Address. An attorney or non-prisoner *pro se* party whose e-mail address changes, shall, within ten days, (1) change the e-mail address in the account maintenance link in ECF and (2) file a notice of change of e-mail address.

V. ELECTRONIC FILING AND SERVICE OF DOCUMENTS

A. In General.

1. **Filing in ECF.** All motions, pleadings, papers, applications, briefs, memoranda of law, or other documents shall be electronically filed in ECF, except as otherwise provided by these procedures. Except as otherwise provided with respect to case-initiating documents (see Section V.D. of these procedures), e-mailing a document to the clerk's office or to a judicial officer does not constitute filing the document.
2. **Notice of Electronic Filing Required.** The notice of electronic filing will note when a pleading or paper was received in ECF.
3. **Fees Payable to Clerk.** Any fee required for filing a pleading or paper is payable to the Clerk, U.S. District Court by check, money order, credit card, or cash. The clerk's office will document the receipt of fees in ECF. See Section V.D.3.c. for payment instructions.

B. Time of Filing Documents in ECF.

1. **Document Deemed Timely.** A document will be deemed timely filed in ECF if it is filed prior to midnight (Mountain Time) on its due date unless a specific time is designated by a judicial officer in an order.
2. **ECF Technical Failure.** The clerk's office may deem the ECF site subject to a technical failure on a given day if the site is unable to accept filings. In the event of a technical failure, notice thereof will be posted on the court's web site, and documents due that day shall be due the next business day.
3. **Filer's Technical Difficulty.** A filer who cannot file a pleading or document due on a given date in ECF because of a technical difficulty not covered in Section V.B.2. above must file as soon as practicable a motion for extension of time to file the pleading or document.

C. Signatures.

1. **"s/ signature."** Every pleading, written motion, and other paper requiring a signature must include a signature block with the filer's name preceded by an "s/" or "/s/" and typed in the space where the signature would otherwise appear.
2. **Filer's Signature on Case-Initiating Documents.** Because the special rules concerning case-initiating documents do not require use of a login and password, the "s/ signature" serves as the filer's signature on all such documents filed with the court. It also serves as the filer's signature for purposes of the Federal Rules of Civil Procedure, the local rules of this court, and any other purpose for which a signature is required in connection with proceedings before the court.
3. **Filer's Signature on Documents.** The login, password, and the "s/ signature" serve as the filer's signature on all documents, other than case-initiating documents, electronically filed with the court. They also serve as the filer's signature for purposes of the Federal Rules of Civil Procedure, the local rules of this court, and any other purpose for which a signature is required in connection with proceedings before the court. The "s/signature" must match the

filer name on the account for which the login and password are registered.

4. **Signature Block.** The correct format for a signature block is as follows:

s/ Pat Attorney
Pat Attorney
ABC Law Firm
123 South Street
Denver, CO 80202-1234
Telephone: (303) 555-5555
FAX: (303) 555-5554
E-mail: patattorney@xyz.com
Attorney for (Plaintiff/Defendant) XYZ Company

5. **Multiple Signatures.** When a stipulation or other document (e.g., a joint motion or joint exhibit list) requires two or more signatures:
- a. the filer shall confirm that the content of the document is acceptable to all signatories by obtaining a (1) written, (2) e-mailed, or (3) facsimile authorization from counsel; and
 - b. the filer shall file the document electronically using the “s/ signature” for each signatory.
6. **Non-Attorney/Third Party Signatures.** If a document requires a signature other than that of the filer (e.g., an affidavit), the filer must obtain the ink signature of the signatory and the notary if applicable on the paper document. The filer shall then cause the “s/ signature” of the signatory and the notary if applicable to be placed on the electronic word processing version of the document. After obtaining the ink signature(s) on paper and affixing the “s/ signature(s)” to the word processing version, the filer shall cause the word processing version to be converted to PDF and posted to ECF. Scanning the document and posting the scanned result to ECF should be avoided. By filing the document, the filer certifies that the document has been signed by all necessary people, including affiant and notary if applicable, that the ink-signed version exists, and that the document will be available in the filer’s office for inspection.

- a. This rule includes all notarized documents.
- b. This rule includes all documents requiring the signature(s) of a non-attorney, but submitted by a filer (e.g. affiant).
- c. The electronically filed document as it is maintained on the court's servers shall constitute the official version of that record.
- d. Upon request by an attorney of record, a *pro se* party or the court, the ink signature version of the document must be made available for inspection.

D. New Cases.

1. **Initiating Documents From ECF Registrants.** Case-initiating documents (e.g., complaint, petition, notice of removal, etc.), civil cover sheet, and summons (if the initiating party is requesting the clerk to issue a summons) shall be submitted to the clerk's office by (1) e-mail, (2) 3.5" disk, or (3) CD. The clerk's office will post these materials to ECF. The documents must be in PDF format and must comply with the size and exhibit requirements in Section V.H.
2. **Submitting Initiating Documents by Disk or CD.** The 3.5" disk or CD should be clearly labeled with the case's short title and PDF file name affixed to the disk or CD. The filing fee should be tendered with the disk or CD or a PDF version of the application to proceed pursuant to 28 U.S.C. § 1915 should be contained on the disk or CD.
3. **Submitting Initiating Documents by E-mail.**
 - a. **E-mail Address.** The e-mail address for submitting initiating documents is newcases@cod.uscourts.gov. Except as otherwise stated in these procedures, only case-initiating documents, civil cover sheets and summons forms may be sent to this e-mail address.
 - b. **Subject Line.** In the subject line of the new cases e-mail, indicate that this is a new case by typing "new case" and the short case title (new case - *Jones v. Smith*) in the subject line.

- c. **Filing Fee.** In the e-mail, indicate whether the filing party is:
- i. paying the filing fee by cash, check, or money order separately delivered to the clerk's office and, in the case of a check or money order, made payable to "Clerk, U.S. District Court," including the short case title typed or written directly on the memo line of the check or money order;
 - ii. paying the filing fee by a credit card on file with the clerk's office;
 - iii. paying the filing fee by a credit card not on file with the clerk's office, in which case the filing party will provide, in the e-mail or separately, the type of credit card (e.g., Visa, MasterCard, Discover Card), the name, address and telephone number of the cardholder, the card number and date of expiration; or
 - iv. requesting waiver of the filing fee, in which case the initiating party will separately attach to the e-mail a PDF version of the application to proceed pursuant to 28 U.S.C. § 1915.

4. **Initiating Documents From Parties Filing in Paper.** Initiating documents received from parties filing in paper will be scanned and posted to ECF by court staff upon payment of the filing fee or order to commence an action.
5. **State Court Pleadings.** Copies of state court pleadings in proceedings removed from state court must also be provided in PDF and included with the filing of the notice of removal. An index describing the state court documents must be included with the e-mail submission.

Sample State Court Pleading Index:

| <u>Document Name</u> | <u>File Name</u> |
|----------------------|------------------|
| Complaint | complaint.pdf |
| Summons | summons.pdf |

| | |
|---------------------|----------------|
| Entry of Appearance | entrysmith.pdf |
| Answer | answer.pdf |

6. **Service.** A party may not serve a complaint electronically. Service must be in accordance with Fed.R.Civ.P. 4.
7. **Summonses.** Summonses may be submitted conventionally or received via the new cases e-mail address (see Section V.D.) and will be issued by the clerk and returned to the filer conventionally or by reply e-mail.

E. Documents that Add or Delete Attorneys.

1. **Appearance.** ECF only recognizes an appearance of an attorney or party who (1) signs a pleading/paper or (2) files an entry of appearance in ECF. Although an attorney may, under D.C.COLO.LCivR 11.1, participate in or attend a hearing by entering an oral appearance in a proceeding before a judicial officer, the attorney will not be listed as an attorney of record in ECF, and will therefore not receive any Notices of Electronic Filing, unless the attorney also signs a pleading/paper or files an entry of appearance.
2. **Withdrawal of Appearance.** Withdrawal of an appearance shall be in accordance with D.C.COLO.LCivR 83.3 D. Upon entry of the order granting withdrawal, the clerk shall terminate the movant as an attorney of record in that case in ECF.
3. **No Substitution of Counsel.** There is no substitution of counsel. Withdrawal and entry shall be done in accordance with the court's local rules and as stated above. Existing counsel may not withdraw and new counsel may not enter an appearance by filing a substitution of counsel.

F. Motions Practice.

1. **Motion Needing Immediate Attention.** When a motion for a temporary restraining order, preliminary injunction, or any other motion requiring immediate attention has been presented, the filer shall call the clerk's office at 303-844-3433 to notify the court of such filing.

2. **Leave of the Court.** If filing a document requires leave of the court (e.g., an amended complaint, sur-reply brief, etc.), the filer shall post the proposed document as an ECF attachment to the motion. (See Section V.H.3. of these procedures concerning filing of attachments.)

G. Service.

1. **Certificate of Service Required.** A certificate of service shall be made part of the pleading in ECF. A certificate of service shall list all parties entitled to service or notice, and the manner in which service or notice was accomplished on each party. Sample language for a certificate of service is attached to these procedures as ECF Form 3.
2. **Notice of Electronic Filing Constitutes Service on ECF Participant.** When a pleading or document is filed in ECF, ECF will generate a Notice of Electronic Filing. If a recipient is a registered participant in ECF, the ECF-generated Notice of Electronic Filing shall constitute service of the document.
3. **Terminating and Reactivating Electronic Service.** A user receiving electronic service in a case may notify the court that service should be terminated by filing a notice stating either (1) that an order for withdrawal for the user has been granted or (2) that the party the user represents is no longer pending in the case. Counsel may file a notice re-activating service with the court in those situations where service has been terminated.
4. **Service on Parties Not Registered for ECF.** Filers are required to serve copies of any electronically filed pleading, document, or proposed order on parties not registered for ECF according to the Federal Rules of Civil Procedure.¹ When serving paper copies of documents that have been electronically filed, the filer shall include a copy of the Notice of Electronic Filing to provide the recipient with proof of the filing.

¹ A filer may check ECF to see if a party is registered to receive e-mail noticing before posting a filing in ECF. This can be accomplished by clicking on the [Utilities](#) menu choice. Under the miscellaneous heading, click on the [Mailings](#) link. Click on the [Mailing Info for a Case](#) link, enter the case number and click on the [Submit](#) button. If more than one case matches the case number, a case verification window may appear. The Electronic Mail Notice List and Manual Notice List appears.

5. **Three-Day Rule.** The three-day rule in Fed.R.Civ.P. 6(e) for service by mail shall apply to service by electronic means.
6. **Paper Copies.** A filer who is permitted or required to file paper copies of documents shall file with the clerk's office the original and two copies, or file by facsimile in accordance with the local rules, and must also serve paper copies on all parties entitled to service or notice.

H. Oversized Electronic Documents; Exhibits to a Pleading, Motion, Brief, or Other Paper.

1. **Size.** The size limit for each PDF file/document filed in ECF shall be posted in the "Court Information" section on the opening page of the CM/ECF website. For the purpose of this procedure, each electronically filed pleading, motion, brief, or other paper, and each exhibit to the pleading, motion, brief, or paper (whether the exhibit is denominated by the filer as an exhibit, attachment, appendix, or otherwise) is a separate PDF file/document. Filing these files/documents in ECF requires use of ECF's attachment feature.
2. **Oversized Documents To Be Broken Into Separate Parts.** Any PDF file/document which exceeds the size limit shall be separated into electronic files under the size limit, and each file must then be filed in ECF.
 - a. **Oversized Pleading, Motion, Brief, or Other Paper.** If the oversized document is the pleading, motion, brief, or other paper being filed, the electronic PDF file containing the first part of the pleading, motion, brief, or other paper will be submitted as the main document (e.g., Brief in Support of Motion for Summary Judgment), and the electronic PDF file(s) containing the remaining part(s) of the pleading, motion, brief, or paper will each be submitted as a separate ECF attachment(s) to the main document. The filer must label each part clearly when attaching it in ECF.
 - b. **Oversized Exhibits to an Electronically-Filed Pleading, Motion, Brief, or Paper.** If the oversized document is an exhibit to the pleading, motion, brief, or other paper being filed, the electronic PDF files containing the parts of the exhibit will be submitted as separate, successive ECF attachments to the main document. The filer must label each part clearly when attaching it in ECF.

3. **Exhibits to an Electronically Filed Pleading, Motion, Brief, or Paper.** Each exhibit referenced in a pleading, motion, brief or other electronic filing (whether the exhibit is denominated by the filer as an exhibit, attachment, appendix, or otherwise) shall be submitted to ECF as a separate ECF attachment to the main document, regardless of the size of the file containing the exhibit. The filer must label each exhibit clearly by using the “category” and “description” boxes, either together or separately, when attaching it in ECF.

4. **Sample(s).** If the filer follows these procedures and the menus in ECF, the docket entry for an oversized document or for a pleading, motion, or brief with exhibits will appear as follows (hyperlinks bolded and underscored):

Sample Docket Entry:

| | | |
|------------|----------------------------|---|
| 01/21/2005 | <u>185</u> | BRIEF in Support of 184 MOTION for Summary Judgment filed by Defendant Golden Rule Insurance Company Pages 1-50. (Attachments: # <u>1</u> Continuation of Main Document Brief in Support of Motion for Summary Judgment Pages 51-70 # <u>2</u> Affidavit of John Smith # <u>3</u> Deposition Excerpts Jane Doe's Deposition # <u>4</u> Exhibit A Pages 1-15 # <u>5</u> Exhibit A Pages 16-24 # <u>6</u> Conventionally submitted Videotape Deposition of John Doe)(gms,) (Entered: 01/21/2005) |
|------------|----------------------------|---|

Sample of the screen viewed when the hyperlink to sample document #185 is clicked:

| Document Selection Menu | | |
|---------------------------------------|---|----------|
| 1. Multiple Documents | | |
| Select the document you wish to view. | | |
| Part | Description | |
| 1 | Main Document | 50 pages |
| 2 | Continuation of Main Document Brief in Support of Motion for Summary Judgment Pages 51-70 | 19 pages |
| 3 | Affidavit of John Smith | 2 pages |
| 4 | Deposition Excerpts Jane Doe's Deposition | 3 pages |
| 5 | Exhibit A Pages 1-15 | 15 pages |
| 6 | Exhibit A Pages 16-24 | 9 pages |
| 7 | Conventionally submitted Videotape Deposition of John Doe | 1 page |

5. **Color or Graphics.** Because documents scanned in color or containing graphics take longer to upload, filers must configure scanners to scan documents not in color at 200 dots per inch (dpi).
6. **Conventionally Submitted Materials.** A party may conventionally submit, without seeking leave of court, (1) exhibits or materials that cannot be converted to electronic form (e.g., video tape, audio tape, etc.) or (2) voluminous records of administrative agencies in proceedings to review actions of such agencies or of state court proceedings in habeas corpus cases, where such records are not available in electronic format. (See Section I.B.1.) Conventionally submitted materials must be submitted according to the following procedure.
 - A. **Cover Page.** Conventionally submitted materials shall be submitted with a paper cover page containing the case caption, a description of the materials, and a designation of the pleading or motion to which the materials relate (e.g., "Videotape Deposition of John Doe, Exhibit 7 to Plaintiff's Motion for Summary Judgment"). The PDF version of the cover page shall be an ECF attachment to the electronically filed pleading, motion, or paper to which the materials relate. (See samples above.) An electronic version of the cover page need not be submitted on stand alone administrative records filed conventionally. A paper copy of the Notice of Electronic Filing of the ECF attachment shall also be

submitted to the clerk's office with the materials.

- B. **Receipt of Conventionally Submitted Materials.** The clerk's office will note in ECF its receipt of the conventionally submitted materials with a text-only entry.
 - C. **Service.** The filer must serve the conventionally submitted materials on all other parties. The Notices of Electronic Filing generated by the electronic filing of the cover page and by the court's text-only entry noting receipt of the materials shall not constitute service.
- I. **Trial Documents.** Trial documents such as proposed jury instructions, exhibit lists, and proposed voir dire questions shall be electronically filed in ECF so that their filing can be part of the official record. A judicial officer also may impose additional requirements to facilitate use of the documents at trial (e.g., require paper or require that a Word Perfect or Word version of the documents be submitted on disk or CD or sent to the chambers e-mail address listed in Section V.L.2.c.). Any additional requirements may be found by reviewing the judicial officers' procedures on the court's web site, <http://www.cod.uscourts.gov>. All versions of trial documents, including proposed jury instructions, submitted electronically to the judicial officer pursuant to this section must be in Word or WordPerfect format.
- J. **Docket Entries To Be Made by Filer.**
- 1. **Title of Docket Entry.** The filer is responsible for designating an appropriate docket entry title by using one of the docket event categories prescribed by the court. If the filer is in doubt, he or she should contact the ECF help desk for assistance.
 - 2. **Correction of Docket Entry.** After a document is filed in ECF, corrections to the docket can only be made by the clerk's office. ECF will not permit the filer to make changes to a document or docket entry after the transaction has been submitted.
- K. **Correction of Filings.**
- 1. **Documents Filed in Error in Correct Case.** A document filed in error in the correct case (e.g., wrong version of the document attached, wrong event code, etc.) shall remain a part of the record as filed. Upon discovery of an error, the filer shall immediately post the correct document in the case in ECF, and modify the title of the pleading as appropriate (e.g., Amended).

2. **Document Filed in Wrong Case.** If a document is filed in the wrong case the filer shall:
 - a. call the ECF help desk, and
 - b. file the document in the correct case.

L. Proposed Orders.

1. **How to Submit to ECF.**
 - a. A proposed order shall be submitted electronically to ECF as an ECF attachment to the motion requesting the relief to be ordered.
 - i. The proposed order shall only be submitted when required by the local rules or the judicial officer procedures of the presiding judge.
 - ii. If the proposed order is not attached when required, contact the ECF help desk.
 - b. If the proposed order does not require the filing of a motion, (e.g. Proposed Scheduling Order, Proposed Pre-Trial Order, etc.) it shall be submitted electronically as a separate ECF filing.
2. **Additional Submission to Judicial Officer.** Because the electronically-filed PDF document submitted to ECF cannot be changed by a judicial officer, the filer, after filing the proposed order in ECF, shall also submit the proposed order as follows:
 - a. **Word Processing Format.** A proposed order must be submitted in a Word Perfect or Word format. Judicial officers will not accept proposed orders in PDF format.
 - b. **E-Mail Transmission to Judicial Officer.** A proposed order shall be sent via e-mail to the chambers of the assigned district judge and magistrate judge. A proposed order shall be sent as an attachment to the e-mail. The subject line of the e-mail shall contain the case number, short title, the words "Proposed Order," and the docket number of the motion to which the proposed order relates (e.g., *Jones v. Smith*, case number, Proposed Order re Docket Entry #85).

- c. **E-Mail Addresses of Chambers.** Only proposed orders and any other documents specified by a judicial officer should be submitted via e-mail pursuant to this section. Any other submission will be considered to be ex parte and will not be reviewed. The chambers' e-mail addresses are:

| JUDICIAL OFFICER | E-MAIL ADDRESS |
|--------------------------------------|--------------------------------------|
| Chief Judge Edward W. Nottingham | Nottingham_Chambers@cod.uscourts.gov |
| Senior Judge Richard P. Matsch | Matsch_Chambers@cod.uscourts.gov |
| Senior Judge John L. Kane | Kane_Chambers@cod.uscourts.gov |
| Senior Judge Zita L. Weinshienk | Weinshienk_Chambers@cod.uscourts.gov |
| Judge Lewis T. Babcock | Babcock_Chambers@cod.uscourts.gov |
| Judge Wiley Y. Daniel | Daniel_Chambers@cod.uscourts.gov |
| Judge Walker D. Miller | Miller_Chambers@cod.uscourts.gov |
| Judge Marcia S. Krieger | Krieger_Chambers@cod.uscourts.gov |
| Judge Robert E. Blackburn | Blackburn_Chambers@cod.uscourts.gov |
| Judge Phillip S. Figa | Figa_Chambers@cod.uscourts.gov |
| Magistrate Judge Michael J. Watanabe | Watanabe_Chambers@cod.uscourts.gov |
| Magistrate Judge Boyd N. Boland | Boland_Chambers@cod.uscourts.gov |
| Magistrate Judge Craig B. Shaffer | Shaffer_Chambers@cod.uscourts.gov |
| Magistrate Judge Michael E. Hegarty | Hegarty_Chambers@cod.uscourts.gov |
| Magistrate Judge Kristen L. Mix | Mix_Chambers@cod.uscourts.gov |
| Magistrate Judge Kathleen M. Tafoya | Tafoya_Chambers@cod.uscourts.gov |
| Magistrate Judge Gudrun J. Rice | Rice_Chambers@cod.uscourts.gov |
| Magistrate Judge David L. West | West_Chambers@cod.uscourts.gov |

3. **Signed Orders.** The clerk shall enter all signed orders on the docket in ECF.

- M. Notice of Court Orders and Judgments.** Immediately upon the entry of an order or judgment on the docket in ECF, the clerk will transmit a Notice of Electronic Filing which constitutes the notice required under Fed.R.Civ.P. 77(d). The clerk shall give notice in paper form to a person who has not consented to electronic service in accordance with the Federal Rules of Civil Procedure.

N. Entry on Docket by Clerk.

1. **Fed.R.Civ.P. 58 and 79.** All orders, decrees, judgments, and proceedings of the court filed in ECF shall constitute entry on the docket kept by the clerk under Fed.R.Civ.P. 58 and 79.
2. **Same Force and Effect.** Any order or other court-issued document filed electronically without the manual signature of a judicial officer or clerk has the same force and effect as if the judicial officer or clerk had signed a paper copy of the order and it had been entered on the docket in a conventional manner.
3. **“Text Only Order” Docket Entry.** An order may be issued as a “text only order” entry on the docket, without an attached document. Such orders are official and binding. A judicial officer or the Clerk’s office, if appropriate, may issue routine orders or notices by a “text only” docket entry for which ECF will generate a Notice of Electronic Filing and e-mail notification to parties in ECF. In such cases, no PDF document will be attached. The “text only order” will constitute the court’s only documentation on the matter. Copies of a Notice of Electronic Filing for the “text only” docket entry will be sent in paper format to those not using ECF.

O. Bill of Costs. The proposed bills of costs shall be filed electronically in ECF using the docket event “Proposed Bill of Costs.”

P. Appeals in General. When a notice of appeal is filed in ECF, it is not necessary to provide the court with paper copies of the notice for service on the other parties. The Notice of Electronic Filing generated by the system will constitute the copy the clerk is required to serve under Fed. R. App. P. 3(d). See Section V.D.3.c. regarding filing fees.

Q. Bonds and Negotiable Instruments. Upon the electronic filing of a bond, except for a supersedeas bond, or the attaching of a negotiable instrument, the original shall be transmitted to the clerk’s office with a cover page noting that this document has been filed electronically and that the original is being filed in accordance with the Electronic Case Filing Procedures for the District of Colorado.

A supersedeas bond requires court approval and shall be filed and transmitted as a proposed order under Section V.L.

VI. SEALED DOCUMENTS, EX PARTE SUBMISSIONS, DOCUMENTS FOR IN CAMERA REVIEW, AND CONFIDENTIAL SETTLEMENT STATEMENTS

A. Filing of Papers and Documents Under Seal.

1. Sealed Cases.

- a. **Commencing an Action.** When commencing an action either under seal pursuant to statute or by filing a motion to seal it shall be done in accordance with Section V.D. of these procedures. The e-mail or cover letter shall note that it is to be sealed or that a motion to seal the case is being filed.
- b. **Appearance in Case.** After commencement of an action, an attorney or *pro se* party entering their appearance must file the initial document in paper format.
- c. **Filing Electronically.** Once commenced, except for the parties' initial filing, all subsequent documents shall be filed using ECF.
- d. **Service of Documents.** Parties must not use the court's electronic notice facilities to serve documents in sealed cases. An NEF will not be sent on documents filed in sealed cases. Service should be made in accordance with the Federal Rules of Civil Procedure and a certificate of mailing must be attached to the filed document.
- e. **Viewing Sealed Cases.** Only parties to the case will be able to view docket entries and documents in sealed cases.

2. Documents Under Seal.

- a. **Filing Sealed Documents.** Any document ordered to be filed under seal or a document where the filing party is contemporaneously filing a motion to seal the document shall be filed using ECF. The document shall be filed using the docket event "Sealed Document."
- b. **Service of Documents.** An NEF will be generated for the sealed document, but the document will not be viewable. Accordingly, parties may not use the court's electronic notice facilities to serve sealed documents. Service should be made in accordance with the Federal Rules of Civil

Procedure and a certificate of mailing must be attached to the filed document.

- c. **Viewing Sealed Documents.** Parties to the case, and PACER users, will not be able to view sealed documents, but will be able to view the docket entries in a case that is otherwise available for public inspection.

B. Ex Parte Submissions. A party who seeks to submit or file a document without giving notice to other parties should file the document electronically.

1. **Filing Ex Parte Documents.** Any document to be filed ex parte must be filed using the docket event “Ex Parte Document.”
2. **Filing of CJA Documents.** Any CJA forms and supporting documents to be filed pursuant to representation under the Criminal Justice Act must be filed using the appropriate CJA document docket event, and will be treated as an ex parte document under these procedures. CJA forms should be filed using the docket events available for these documents and should not use the “Ex Parte Document” event (e.g., CJA Form 21).
3. **Service of Documents.** An NEF will be generated for the ex parte document, but the document will not be viewable. The NEF will only contain the statement “Ex Parte Document,” or the name of the CJA form. Service should be made in accordance with the Federal Rules of Civil Procedure and a certificate of mailing must be attached to the filed document.
4. **Viewing Ex Parte Documents.** Parties to the case, and PACER users, will not be able to view ex parte documents, but will be able to view the docket entries in a case that is otherwise available for public inspection.

C. Documents Submitted for In Camera Review. A party who seeks to present a document to a judicial officer for in camera review, whether acting on the party’s own initiative or pursuant to a court order, shall present the document to the judicial officer by mailing or hand delivering the document to the clerk’s office for the judicial officer in paper.

1. **Label.** Any document submitted pursuant to this subsection must be clearly labeled “for in camera review.”
2. **Judicial Officer.** A judicial officer who receives a document

submitted for in camera review may direct the party who submits it to file the document electronically, using normal ECF procedures, or may otherwise handle and address the document as deemed most appropriate.

- D. Confidential Settlement Statements.** Confidential settlement statements shall be submitted via e-mail transmission as explained in Section V.L.2. A confidential settlement statement shall be sent as an attachment to the e-mail with “confidential settlement statement - short case title and case number” (e.g., confidential settlement statement - *Jones v. Smith*, case number) in the subject line. It shall be sent as a PDF document.

VII. SOCIAL SECURITY CASES

See Federal Rule of Civil Procedure 5.2.

VIII. EMERGENCY MATTERS IN BANKRUPTCY APPEALS

If a matter needs to be brought to the court’s attention before the transmittal of the notice of appeal, the moving party shall file the case-initiating motion in the manner outlined in Section V.D. and V.F. for initiating a case. The e-mail address for submitting the motion is newcases@cod.uscourts.gov.

IX. POST-JUDGMENT PROCESS

Parties needing to have writs and other post-judgment process issued by the court shall submit the items electronically to newcases@cod.uscourts.gov. If appropriate for issuance, the clerk shall sign, seal, and return the documents to the filing party for service.

X. HYPERLINKS

- A. Types of Hyperlinks.** Electronically filed documents may contain the following types of hyperlinks:

1. hyperlinks to other portions of the same document; and
2. hyperlinks to a location on the Internet that contains a source document for a citation.

- B. Standard Citation Format Required.** Hyperlinks to cited authority may not replace standard citation format. Complete citations must be included in the text of the filed document.

- C. Limitation.** Neither a hyperlink, nor any site to which it refers, shall be

considered part of the official record. Hyperlinks are simply convenient mechanisms for accessing material cited in a filed document.

- D. Disclaimer.** The court accepts no responsibility for, and does not endorse, any product, organization, or content at any hyperlinked site, or at any site to which that site may be linked. The court accepts no responsibility for the availability or functionality of any hyperlink.

XI. PRIVACY POLICY

See Federal Rule of Civil Procedure 5.2.
See General Order 2007-3.

XII. PUBLIC ACCESS TO ECF

- A. Access at the Clerk's Office.** Access to ECF is available to the public at no charge at the clerk's office during regular business hours.
- B. Paper Copies and Certified Copies.** Paper copies and certified copies of electronically filed documents may be purchased at the clerk's office. The fee for copying and certifying will be in accordance with 28 U.S.C. § 1914.
- C. Internet Access.** Remote electronic access to ECF is limited to subscribers to PACER. The Judicial Conference of the United States has ruled that a user fee will be charged for remotely accessing certain detailed case information, such as filed documents and docket sheets in civil cases. Any member of the public may apply for a PACER account.

**UNITED STATES DISTRICT COURT
FOR THE DISTRICT OF COLORADO**

APPLICATION OF ATTORNEY TO FILE DOCUMENTS IN PAPER

Name: _____

Address: _____

City: _____ State: _____ Zip: _____

Phone: _____

E-mail: _____

Please list pending cases in which you have entered an appearance:

State reasons why at this time you should be exempted from filing electronically in accordance with the court's Electronic Case Filing Procedures:

State when you anticipate being prepared to file electronically:

Note: If this request is approved you will be required to file an original and two copies of all documents in accordance with the court's Local Rules.

Submit request in duplicate to:

Clerk, United States District Court
Electronic Filing Registration
901 19th Street, Room A-105
Denver, Colorado 80294-3589

You will be notified in writing of the court's determination.

INSTRUCTIONS: ECF REGISTRATION FORM - PRO SE

Case Management/Electronic Case Files (CM/ECF) is the new automated case management and electronic docketing system of the United States District Court in Colorado. CM/ECF provides a new, easy-to-use electronic filing feature that allows authorized individuals to file electronically and view court documents over the Internet. It also provides notice of electronic filing of documents by e-mail for ECF registered participants. Please note that you will need a PACER account to query cases and access case related documents.

Pursuant to Federal Rule of Civil Procedure 11, every pleading, motion, and other paper shall be signed by at least one attorney of record, or if the party is not represented by an attorney, all papers shall be signed by the party. An attorney's/participant's password issued by the court combined with the user's identification, serves as and constitutes the attorney's/participant's signature. Therefore, an attorney/participant must protect and secure the password issued by the court. If there is any reason to suspect the password has been compromised in any way, it is the duty and responsibility of the attorney/participant to immediately change the password and notify the court.

Each *pro se* party desiring to file a pleading or other papers electronically must complete and sign the attached REGISTRATION FORM - PRO SE. Registration as a Filing User constitutes: (1) consent to receive notice electronically and waiver of the right to receive notice by first-class mail pursuant to Federal Rule of Civil Procedure 5; (2) consent to electronic service and waiver of the right to service by personal service or first class mail pursuant to Federal Rule of Civil Procedure 5 and 77, except with regard to service of a summons and complaint. Waiver of service and notice by first class mail applies to notice of the entry of an order or judgment.

Registered *pro se* parties will have privileges to electronically submit pleadings and papers and view the electronic docket sheets and documents. By registering, participants consent to receiving electronic notice of filings through the system.

A user accesses court information via the court's Internet site or through the Public Access to Court Electronic Records (PACER) Service Center. Although the court manages the procedures for electronic filing, all electronic public access to case file documents occurs through PACER. A PACER service account is mandatory. The Judicial Conference of the United States has recently approved a schedule of fees to be charged for selected electronic records access requests by users of the CM/ECF system. This requires a PACER account in addition to, but separate from, the CM/ECF registration. If you have not yet received a PACER account, contact the PACER Service Center at <http://pacer.psc.uscourts.gov> or call the PACER Service Center at 1-800-676-6856 or 210-301-6440.

A non-prisoner *pro se* may apply to register as a participant in ECF by completing the attached REGISTRATION FORM - PRO SE and submitting it to the clerk's office. If the applicant is approved by the court, the clerk's office will send the applicant's ECF login to the applicant's e-mail account. Upon closure of the case for which access is granted (and the expiration of all appeal periods), the account will be deactivated. Unless authorized to file in ECF, non-prisoner *pro se* filers must file their documents in paper. The documents will be scanned and uploaded to ECF by court staff.

Once registration is complete, you will receive notification via e-mail of your user id needed to access the system. The combination of login and password will serve as the signature of the *pro se* filing documents. You must protect the security of your password and immediately change the password and notify the court if you learn that their password has been compromised by an unauthorized user. You may contact the Electronic Filing Help Desk in the clerk's office at 303-335-2050 or toll free at 866-365-6381 if you have any questions concerning the registration process or the use of the electronic filing system. Completion of all the following fields is required for registration; incomplete registrations will not be processed.

**UNITED STATES DISTRICT COURT
FOR THE DISTRICT OF COLORADO**

ECF REGISTRATION FORM - PRO SE

To register for an account on this court's Electronic Case Filing System (ECF), please complete the following information.

First Name: _____

Middle Name: _____

Last Name: _____

Address : _____

Phone: _____

City: _____ State: _____ Zip: _____

E-mail 1: _____

For the purpose of confirmation receipt and system login credentials.

E-mail 2: _____

The undersigned agrees to abide by all court rules, orders, and policies, and procedures governing the use of the electronic filing system. The undersigned also consents to receiving notice of filings pursuant to Fed. R. Civ. P. 5 and 77 via the court's electronic filing system. The undersigned certifies that he/she has read and is familiar with the rules of practice and the Electronic Case Filing Procedures governing electronic filing, which may be found on this web site. The undersigned consents that use of the undersigned's login and password when filing papers and pleadings will serve as their signature pursuant to and for the purposes of Fed.R.Civ.P. 11. You agree that all transmissions for electronic case filings of pleadings and documents to the ECF system shall be titled in accordance with the approved directory of civil events of the ECF system.

By signing this form, you certify that you have a PACER account. Visit the PACER web site at <http://pacer.psc.uscourts.gov> to establish a PACER account.

I accept the above rules and guidelines.

Signature: _____ Date: _____

Mail Completed Form to:
Clerk, United States District Court
Electronic Filing Registration
901 19th Street, Room A-105
Denver, Colorado 80294-3589

The information contained in this box will be maintained confidentially, and is necessary for security/confirmation purposes.

Last four digits of SSN: _____

Mother's maiden name: _____

CM/ECF Password*: _____

* Must be eight (8) characters in length.

_____ Approved _____ Disapproved

Judicial Officer

Date

**UNITED STATES DISTRICT COURT
FOR THE DISTRICT OF COLORADO**

SAMPLE FORMATS - CERTIFICATE OF SERVICE (CM/ECF)

Sample A

I hereby certify that on _____ Date _____, I electronically filed the foregoing with the Clerk of Court using the CM/ECF system which will send notification of such filing to the following e-mail addresses:

_____, and I hereby certify that I have mailed or served the document or paper to the following non CM/ECF participants in the manner (mail, hand-delivery, etc.) indicated by the non-participant's name:

s/ _____

Attorney Name
Attorney for (Plaintiff/Defendant)
Law Firm Name
Law Firm Address
Law Firm Phone Number
Law Firm Fax
Attorney's E-mail Address

Sample B

I hereby certify that on _____ Date _____, I presented the foregoing to the Clerk of Court for filing and uploading to the CM/ECF system which will send notification of such filing to the following e-mail addresses:

_____, and I hereby certify that I have mailed or served the document or paper to the following participants in the manner (mail, hand-delivery, etc.) indicated by the non-participant's name:

s/ _____

Attorney Name
Attorney for (Plaintiff/Defendant)
Law Firm Name
Law Firm Address
Law Firm Phone Number
Law Firm Fax
Attorney's E-mail Address